PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0851-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO	Complete if Known			
	Application Number	10/081,014		
INFORMATION DISCLOSURE	Filing Date	2/21/2002		
	First Named Inventor	Michael Wayne Brown		
STATEMENT BY APPLICANT	Art Unit	2642		
(Use as many sheets as necessary)	Examiner Name	H. AGDEPPA		
Sheet 1 of 1	Attorney Docket Number	AUS920010845US1		

Examiner	Cite No.	Document Number	Publication Date	DOCUMENTS Name of Patentee or	Pages, Columns, Lines, Where	
Initials*	No.'	Number-Kind Code <sup>2 (f knew)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
ĦΣ		<sup>US-</sup> 5,937.044	08/10/1999	Kim, Hack H.		
HA-		<sup>US-</sup> 6,826,173	11/30/2004	Kung et al.		
		us-				
		U\$-				
		US-				
		US-				
		US-				
		US-	1		-[	
		us-				
		US-	<b>—</b>			
		US-				
		Us-	<del>                                     </del>			
		US-	1			
		US-	1			
		US-	1			
		US-	1			
		US-	1			
		US-	+	<del></del>	<del> </del>	
		US-	+			

Examiner	Cite	Foreign Patent Document	IGN PATENT DOCU	Name of Patentee or	Pages, Columns, Linea,	·
Initials" No.			Date	Applicant of Cited Document	Where Relevant Passages	ļ
	Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>3</sup> (# knowe)	MM-DD-YYYY		Or Relevant Figures Appear	7	
		<del>- 2072</del>				C
				· · · · · · · · · · · · · · · · · · ·		L
						L

Signature

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to explicant. "Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at week uson, one of MPEP 801.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the sental number of the patent document. "Valid of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. "Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the emount of time you require to complete this form and/or suggestions for reducing this burnedn, should be sent to the Chief Information Officer, U.S. Paterni and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Paternis, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for Form 1449/PTO

In re application of Brown et al.

Serial No.: 10/081,014 Confirmation Number: 1216

Filed: 02/21/2001

Art Unit: 2643 2642

Sheet \_1\_ of \_1\_

Docket: AUS920010845US1

## **US PATENT DOCUMENTS**

Examiner Initials	Document Number	Publication Date	Patentee/ Applicant	Classification
HA	US – 5,724,408A	03/1998	Morganstein, Stanford J.	379/88.2
	US - 5,978,467	11/1999	Walker et al.	379/266.01 ,
	US - 6,178,240	01/2001	Walker et al.	379/266.01
	US - 6,327,346B1	12/2001	Infosino, William J.	379/88.02
	US - 2003/0156696	08/2003	Brown et al.	379/196
	US - 2003/0156695	08/2003	Brown et al.	379/188 ·
	US - 6,724,866B2	04/2004	Kuhn et al.	379/88.21

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Line	Foreign Document Number/Type	Publication Date	Patentee/ Applicant	Classification	Trans

#### NON-PATENT LITERATURE DOCUMENTS

Examiner Initials	Author, Title, Type, Date, Pages, Volume/Issue, Publisher, City/Country where Published	Trans

Examiner		Date	
Signature		Considered	6/2/05
	F (12)		( )



FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Serial No. 10/081,014

Applicant: Brown et al.

Filing Date: 2/21/02

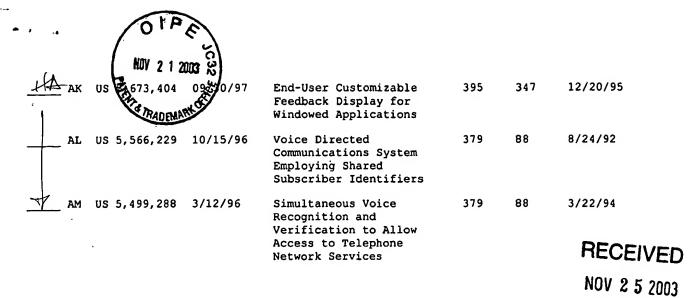
Group: 2643 2642

Atty. Docket No. AUS920010845US1

#### Reference Designation

# U. S. PATENT DOCUMENTS

Examiner Initial	Document Number	<u>Date</u>	Name	Class	Sub- class	Filing Date if Appropriate
AA	US 6,359,971 B1	3/19/02	User Display in Speech Recognition System	379	88.01	8/21/95
AB	US 6,178,230 B1	01/23/01	System and Method for Identifying A Callee of an Incoming Telephone Call	379	67.1	<b>11/13/97</b>
AC	US 6,122,357	9/19/00	Providing Enhanced Services Through Double SIV and Personal Dial Tone	379	207	4/8/98
AD .	US 6,101,242	8/8/00	Monitoring for Key Words with SIV to Validate Home Incarceration	379	88.02	12/23/97
AE	US 6,058,364	5/2/00	Speech Recognition of Customer Identifiers Using Adjusted Probabilities Based on Customer Attribute Parameters	704	252	11/20/97
AF	US 6,038,305	3/14/00	Personal Dial Tone Service with Personalized Caller ID	379	207	8/1/97
AG	US 5,946,654	8/31/99	Speaker Identification Using Unsupervised Speech Models	704	246	2/21/97
HA	US 5,940,476	8/17/99	System and Method for Identifying an Unidentified Caller	379	88.02	6/28/96
AI	US 5,915,001	6/22/99	System and Method for Providing and Using Universally Accessible Voice and Speech Data Files	379	88.22	11/14/96
LA J	US 5,790,637	8/4/98	Extended Voice Messaging	379	67	2/16/96



Technology Center 2600

FOREIGN	PATENT	DOC	UMENI	'S
				_

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation
AL	JP 10294784A	11/04/98	Japan	HO4M1	57	No
AM	JP 8139797A	05/31/96	Japan	HO4M1	274	No
AN	EP 0585004A2	03/02/94	European	но4м3	44	Yes
<del> </del> AO	EP 0676882A2	10/11/95	European	HO4M1	27	Yes
,						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial

Examiner:

Date Considered:

6/205

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.